

QUESTIONNAIRE ON ENVIRONMENTAL AND SOCIAL IMPACTS together with the Investor/Debtor's statement on consent to disclose information

Appendix to the application for individual Insurance of Instrument for financing foreign direct Investments.....dated

Please note:

- 1/ Before completing this questionnaire please read information and documents available on the KUKE's website (<http://www.kuke.com.pl>) in the Environmental Protection section and the Instruction manual on completing the questionnaire on environmental and social impacts on page 7.
- 2/ On the basis of the environmental and social impacts questionnaire, KUKE shall carry out screening process with the aim of identifying which applications should be classified with regard to the impact of the project on the environment. Should information given in the questionnaire prove to be insufficient, KUKE may ask parties involved in the project to provide additional information and documents related to potential environmental and social impacts.
- 3/ The "Project" means the Investment submitted for Insurance of Instrument for financing foreign direct Investments.
- 4/ It is obligatory to attach to the application form and to this questionnaire The Environmental and Social Impact Assessment (ESIA) report (further: "the Report") in case of Projects classified as Category A Projects. If there is no Report carried out, it is obligatory to undertake it in accordance to the Recommendation of the Council on Common Approaches for Officially Supported Export Credits and Environmental and Social Due Diligence (further: "the Common Approaches"). It is mandatory to document - in the above-mentioned Report or separately - the undertaking of public consultations with local communities directly affected by the Project. In case of the lack of such public consultations, it is required to assure civil society participation in the investment process by undertaking such consultations by the Investor/Debtor, NGOs or local administration.
- 5/ For Projects classified as Category B Projects, KUKE may also require the Report. In such case, KUKE will define its scope and requirements. If the Report for Category B Project is not required, KUKE may request appropriate environmental and social impacts information or studies.
- 6/ For Projects classified as Category C Projects the Report is not required.
- 7/ In the questionnaire the space provided can be freely expanded. However, if you consider that the information given should be extended, provide it in the appendix to the questionnaire.

Section A

1. Name and address of the Investor/Debtor:

.....
.....

2. Are credit insurance agencies (ECAs) from other countries included in the performance of the Project?

YES ☐ NO ☐

If YES, please provide name and address:

.....

3. Name of the Project:

.....

4. Type of the Project/sector:

.....

5. Subject of the Investment:

.....

6. Amount applied for Insurance Cover at KUKE:

- in the currency of the contract:
- converted into SDR at NBP rate of exchange on the day of completing the questionnaire (NBP rate of exchange is available at <http://www.nbp.gov.pl/>), in million XDR, calculated to three decimal places:

7. Planned period of Investment implementation:

.....

8. Destination of the Investment (country, administrative unit, venue) – Project location

.....

9. Is the Investment permanently linked with the location given in point 8?

YES ☐ NO ☐

If NO, please provide comments:

.....

10. Is the Project located in sensitive areas, such as:

- 1/ Rain forests
- 2/ Coral reefs and mangrove forests
- 3/ UNESCO biosphere reserves and/or their surroundings
- 4/ Protected areas with the status of national parks, nature reserves and/or their surroundings
- 5/ Areas of the Natura 2000 European network

- 6/ Wetland areas under the protection of the Ramsar 1971 convention
- 7/ Areas of particular significance for biodiversity conservation and species protection not mentioned in paragraph 3-6
- 8/ Land and objects on the UNESCO World Heritage List and/or their surroundings
- 9/ Areas of particular significance to the local community for historical, ethnic and religious reasons etc.

or at such a distance from them, that there is a probability of a significant influence on the given area or object?

YES ☐ NO ☐

If YES, please underline the appropriate position on the above list and provide below the name, location and the basis for the obtaining status by the area, in so far as it is protected by a legal act, as well as its location in relation to site of the Investment (a map should be attached):

Please note:

In the case of:

- reply to question 9 is "NO" or
- reply to question 9 is "YES" and amount applied for Insurance Cover at KUKÉ is below SDR 10 million and at the same time Project is not located in or near sensitive areas

you may finish completing this form without filling section B (section between triple horizontal lines). In such case, please delete section B from the form.

Section B

/applies to Projects in case amount applied for Insurance Cover at KUKÉ is equal to or above SDR 10 million or – regardless its value – in case the Project is located in or near sensitive areas/

11. Please describe the Investment subject - its size, productivity, quantity of products and raw materials and environmental resources used, e.g. volumes of water required, sewage disposal, emissions etc., describe technology:

12. Available documentation concerning the subject of the Investment:

13. Are there any permits/concessions awarded that are required by local legislation and relevant Country of Investment?

YES ☐ NO ☐

If YES, please specify:

14. Is the object at the site of the Investment new or already existing?

NEW ☐ EXISTING ☐

If the object already exists, Project relates to:

- extension (superstructure, rebuilding) ☐
- modernisation ☐
 - change of technology ☐
 - change of product range ☐
 - augmentation of output ☐

The result of extension/modernisation is:

- increase in negative impact of the object on environment ☐
- decrease in negative impact of the object on environment ☐
- improvement of environment condition ☐
- increase of raw materials/energy consumption ☐

- reduction of raw materials/energy consumption ☐
- no change in impact of object on the environment ☐
- lack of relevant information on the issue ☐

In case of improvement of environment conditions as a result of the Project, please describe the positive impact of the Project on environment:

15. Classification of the Project according to the Common Approaches. While classifying the Project it is acceptable to follow the Directive 2011/92/EU of the European Parliament and of the Council of 13 December 2011 on the assessment of the effects of certain public and private projects on the environment. Projects mentioned in Appendix I of the Directive correspond to Category A Projects of Common Approaches, projects indicated in Appendix II - to Category B Projects. Category C includes the other Projects.

- Category A Project ☐
- Category B Project ☐
- Category C Project ☐
- we cannot classify the Project ☐

16. Has an environmental and social impact assessment report been carried out for the Project: (ESIA) Report, UVP – Umweltverträglichkeitsprüfung, оценка воздействия на окружающую среду, or any study in this aspect?

YES ☐ NO ☐

No, but it is required according to host country regulations ☐

It is being prepared ☐

It is not required according to the host country regulations ☐

If YES, please give a name and type of study and attach it to the application:

17. If the Report is not submitted with the application, but it has been prepared, please give further information on it /author, completion date, language, who is in possession of the study, who ordered it, was it made available to public information, where it was disclosed/:

18. Does the Project, according to the Report or other studies, comply with:

- environmental standards of host country? YES ☐ NO ☐
- international standards referred to in Common Approaches? YES ☐ NO ☐

Please provide additional details/comments:

19. During the Project implementation, which negative social and environmental impacts will occur:

- enforced population resettlement, acquisition of land or expropriation ☐
- risk of increased unemployment ☐
- bonded or forced labour ☐
- child labour or employment of persons under the age of 18 ☐
- health and safety considerations ☐
- large-scale labour force inflow ☐
- negative impacts on cultural heritage ☐
- other social factors, including negative impacts on Project-related human rights /please specify/:

There is no adverse impact of the Project on social and human rights ☐

Please note: If the Project is performed in a country or sector which involves higher environmental risks KUKE may require providing additional documents and information.

20. Was consultation with the local population undertaken?

YES ☐

NO ☐

If NO, is a community participation/public consultations planned?

YES ☐

NO ☐

Please describe ways /announcements, meetings, consultations/. Who is responsible for public consultations? Is the Investor engaged in the process? What is the community's reception of the Project/results of consultations?

21. Have non-government, including ecological, organisations participated in public consultation?

YES ☐

NO ☐

If YES, what is their opinion on this issue:

22. Is there international interest in the Project, i.e. from non-government organisations, inhabitants of neighbouring countries?

YES ☐

NO ☐

If YES, please give details:

23. Is there a transboundary/ cross-border impact of the Project?

YES ☐

NO ☐

If YES, please specify (describe type and area):

Name of person able to give additional information:

E-mail:

Telephone:

Website, where Project information is disclosed (if exists):

Statement

On behalf of the Investor/Debtor:

1. I hereby declare that the information provided in the questionnaire on environmental and social impacts, and other information attached to the application related to environmental impact is to the best of my knowledge true and reliable, and I have avoided nothing that might affect the screening, classification of the Project, or an evaluation of its environmental and social impacts.
2. I acknowledge that:
 - a) On the basis of the environmental and social impacts information provided in the questionnaire KUKE shall verify the Project classification indicated in this questionnaire, and in the event of lack of such classification by the Investor/Debtor, independently classify the Project. In case that the Project is classified as a Category A Project, there is an obligation to make the information publicly disclosed as early as possible in the review process, however at least 30 days before final commitment to grant Insurance, at the following website <https://www.kuke.com.pl/>, including the Project name, location and description of the Project, details where additional information concerning the Project shall be obtained. Furthermore, an (ESIA) Report or its summary shall be made publicly available as well;
 - b) In case the Project is classified as Category B Project, information on the Project also shall be publicly disclosed after final commitment to grant Insurance at the following website <https://www.kuke.com.pl/>, including the Project name, location and description of the Project, details where additional information concerning the Project shall be obtained;
 - c) Furthermore, KUKE makes publicly available on periodical basis general information about all Projects classified as Category A and as Category B Projects, for which a final commitment has been issued, including type of information reviewed in environmental and social impact assessment process and international standards applied in benchmarking process;
 - d) Consent to disclose information, referred to in points 1, 2 and 3, by the Investor/Debtor or Insurance applicant is submitted – on KUKE's demand. Its standard form is attached to this questionnaire.

Place and date

Signature of the Investor/Debtor

STATEMENT**OF CONSENT TO DISCLOSE OF ENVIRONMENTAL INFORMATION**

Appendix to the questionnaire on the environmental and social impacts

1. I hereby give consent to the disclosure at KUKE's website (<https://www.kuke.com.pl/>) the following information concerning the Project:

a) name:

b) location:

c) Project description:

d) source of additional information concerning the Project:

e) other information on environmental impact of the Project – according to the text attached

f) documents provided for environmental and social impact review

g) international standards applied in benchmarking process

2. The revealing of information contained in:

- the Environmental and Social Impact Assessment Report *,

- summary of the environmental and social impact report *,

Through the placing of their contents at the following website (<http://www.kuke.com.pl/>), or link to internet site at the following address:

3. I hereby declare that the following information shall not be revealed:

4. Justification of not giving the consent to disclose the above-mentioned information:

Place and date

Signature of the Investor/Debtor

**Delete as appropriate*

INSTRUCTION MANUAL

on completing the questionnaire on environmental and social impacts

The Questionnaire contributes an appendix to the application for Insurance of Instrument for financing foreign direct Investments and forms its integral part.

Before completing, please read all questions included and information available on the KUKE's Website (<http://www.kuke.com.pl/>) in the Environmental Protection tab.

Completing Section A is obligatory for all Projects. You can delete the Section B - marked out with triple line - if the Project is not destined to identified locations (e.g. vehicles, means of transport) or in case the Project value is below SDR 10 million and at the same time the Project is not located in or near sensitive areas.

While filling the questionnaire you can freely expand the space provided for your responses. However, in the case of long descriptions, e.g. in question 11 – please, provide information in the attachment.

Please, indicate your answer to YES/NO questions by placing a tick (cross) in the relevant box using MS Word facilities.

YES ☒ NO ☐

Point 3 – the name of the Project according to Investment documentation should be given. In the event of a name in a foreign language, it should be given in the original version and in the Polish language. Example:

Construction of feed plant for poultry farm located at X. (Budowa mieszalni pasz na fermie drobiu w miejscowości X.)

Supply, assembly and start-up of sewage sludge processing line in communal treatment plant for town Y. (Dostawa, montaż i rozruch linii przeróbki osadów ściekowych w oczyszczalni komunalnej dla miasta Y.)

Turn-key hotel construction with recreation sports centre on island Z. (Budowa pod klucz hotelu z centrum rekreacyjno-sportowym na wyspie Z.)

Point 5 – the type of the Investment should be provided.

Point 9 – one should reply to the question, whether the subject of the Investment i.e. the investment goods or services are permanently linked to a specific location.

Point 10 – refers to the location of the Project in or near sensitive areas or valuable nature areas or objects regarded as cultural heritage or important for indigenous peoples. Regarding the possibility of conflicts, it is necessary to ascertain location of Investment in regard to the nearest areas of this type.

Point 11 – one should elaborate more on information contained in point 5. Detailed parameters, specifying the Project should enable assessment of the scale of the Project. In particular please give, in so far as it is possible:

- indications referring to operation of the object (e.g. hotel accommodation for 200 in single and double room in proportion of 60/40%),
- in the case of production buildings - information concerning the scale of production (e.g. daily in three shift system, annually),
- information concerning the extent of use of natural resources (e.g. requirement for water other raw materials) and pollution emission.

Short technological description should include both the performance phase (e.g. steel construction of halls with covering of layered panels), as well the operation phase of the object (e.g. production of beverages from ready concentrates, water from local source and additives, packed in Tetra-Pack type 1 litre cartons).

Parameters describing the scale of output should refer to the appropriate indicators for the given sector (e.g. treatment plant for 2,000 IEN - inhabitant equivalent number). The envisaged scale of employment is also relevant.

Point 12 – the documents/studies concerning the subject of the Project should be listed (e.g. specification of terms and conditions of the order, building design, construction consent and other consents and permissions, concepts, studies, research, technical-motion documentation, certificates, expert opinions etc.).

Point 20 – please provide documentary evidence of public consultations according to the Common Approaches for all Category A and B Projects.

The Statement of consent to disclose environmental and social information attached to the questionnaire is required for Category A and B Projects. It includes a consent to make general Project information publicly available. With regard to Category A Projects, it enables access of stakeholders to the Environmental and Social Impact Assessment Report or summary thereof. Please, indicate which information should not be revealed and provide a relevant justification.